STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:

Source:

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLAINS DETÉCTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
 U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
 Alexandria, VA 22314

Revised 01/10/06

Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 10549,352
ATTN: NEW RULES CASES:	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
IWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5 th amino acid is misaligned. Do not use tab codes between numbers; use space characters , instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
Use of <220>	Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
12PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid



IFWP

RAW SEQUENCE LISTING DATE: 11/28/2006
PATENT APPLICATION: US/10/549,352A TIME: 14:28:06

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Output Set: N:\CRF4\11282006\J549352A.raw

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3 <110> APPLICANT: DRAKE, Caroline Rachel
        PAINE, Jacqueline Ann Mary
        SHIPTON, Catherine Ann
 7 <120> TITLE OF INVENTION: Enhanced Accumulation of Carotenoids in Plants
9 <130> FILE REFERENCE: 70237USPCT
11 <140> CURRENT APPLICATION NUMBER: US 10/549,352A
12 <141> CURRENT FILING DATE: 2005-09-14
14 <150> PRIOR APPLICATION NUMBER: PCT/GB2004/001241
15 <151> PRIOR FILING DATE: 2004-03-24
                                                         Does Not Comply
17 <150> PRIOR APPLICATION NUMBER: US60/457,053
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18 <151> PRIOR FILING DATE: 2003-03-22
20 <160> NUMBER OF SEQ ID NOS: 38
22 <170> SOFTWARE: PatentIn version 3.2
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37 acaatatcat gagtaatgtg tgagcattat gggaccacga aataaaaaga acattttgat
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43 tagcaactca tgcatcatat catgcctctc tcaacctatt cattcctact catctacata
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51 aaaaattcat ttgcctttcg tgtcaaaaag aggagggctt tacattatcc atgtcatatt
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                                                                         660
53 gcaaaagaaa gagagaaaga acaacacaat gctgcgtcaa ttatacatat ctgtatgtcc
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59 cacgatgatt teteattgtt teteacaaaa ageatteagt teattagtee tacaacaacg
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61 aatteggett eeegggtaca gggtaaattt etagttttte teetteattt tettggttag
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77 ctacaagetg eggggateee egtettaetg ettgaacaae gtgataaaee eggeggtegg
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PIS EXPLAIN Source OF gonetic
material

Alid response

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An error

agg cagtttgttg

Sheet.
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RAW SEQUENCE LISTING DATE: 11/28/2006 PATENT APPLICATION: US/10/549,352A TIME: 14:28:06

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PATENT APPLICATION: US/10/549,352A TIME: 14:28:06

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397 tggagaggat gctagacgag gaaggatata tttaccacaa gatgagcttg cacaggcagg
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411 agtttettaa gattgaatee tgttgeeggt ettgegatga ttateatata atttetgttg
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413 aattacgtta agcatgtaat aattaacatg taatgcatga cgttatttat gagatgggtt
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415 tttatgatta gagtcccgca attatacatt taatacgcga tagaaaacaa aatatagcgc
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422 <212> TYPE: DNA
423 <213> ORGANISM: Artificial Sequence
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425 <220> FEATURE:
426 <223 > OTHER INFORMATION: 12422
428 <400> SEQUENCE: 3
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435 gagtegtgta teetegatga geeteaaaag tteteteae¢ eeggataaga aaceettaag
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437 caatqtqcaa aqtttqcatt ctccactqac ataatqcaaa ataaqatatc atcqatqaca
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439 tagcaactca tgcatcatat catgcctctc tcaacctatt\cattcctact catctacata
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441 agtatettea getaaatgtt agaacataaa cecataagte acgtttgatg agtattagge
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443 gtgacacatg acaaatcaca gactcaagca agataaagca aaatgatgtg tacataaaac 445 tccagagcta tatgtcatat tgcaaaaaga ggagagctta taagacaagg catgactcac
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447 aaaaattcat ttgcctttcg tgtcaaaaag aggagggctt $\pmacetactactc atgtcatatt
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449 gcaaaagaaa gagagaaaga acaacacaat gctgcgtcaa ‡tatacatat ctgtatgtcc
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461 tocatgactg gattoccagt gaagaaggto aacactgaca #tacttocat tacaagcaat
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```

িত Sale after shown exist throughout তিত Sale after Listing. Please check subsequent coquences for similar errors. VERIFICATION SUMMARY

DATE: 11/28/2006

PATENT APPLICATION: US/10/549,352A

TIME: 14:28:07

Input Set : E:\70237USPCT Second Substitute Seq List.txt

Output Set: N:\CRF4\11282006\J549352A.raw